

TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

Certification under 37 CFR 1.10 (if applicable)

EL 385 572 441 US

"Express Mail" mailing label number

September 19, 2003

Date of Deposit



I hereby certify that this transmittal letter, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cederic Rodgers

(Typed or printed name of person mailing application)

(Signature of person mailing application)

Mail Stop Patent Application
Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket No. HKM-02

Customer Number 002387

Sir:

Transmitted herewith for filing is the utility patent application of inventor(s): **Harischandra K. Mhatre**

and entitled:

IMPROVED HEART VALVE PROSTHESIS

1. Type Of Application

This application is:

- ☒ an original (nonprovisional) application.
- ☐ a divisional of prior application Serial No. _____.
- ☐ a continuation of prior application Serial No. _____.
- ☐ a continuation-in-part of prior application Serial No. _____.

☐ The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ The prior application is assigned of record to: _____

☐ Additional prior application information: Examiner _____ Group _____

2. Enclosed Application Elements are:

- ☒ A duplicate copy of this transmittal letter,
- ☒ specification (including claims and abstract) containing pages 1-25
- ☐ drawings: ☐ 1 copy of _____ sheet(s) of formal drawings, **OR**
☒ 1 copy of **SEVEN** (7) sheets of informal drawings (Figs. 1-17)
- ☒ an executed Inventor's Declaration and Power of Attorney; Declaration by Inventor Unable to Write, Statement in Support of Declaration Executed by Inventor Unable to Write; and Declaration by Inventor's Physician.
- ☐ statement deleting inventor(s) named in the priority application
- ☐ Microfiche Computer Program
- ☐ nucleotide and/or amino acid sequence
 - a. ☐ computer readable copy
 - b. ☐ paper copy
 - c. ☐ statement verifying above copies

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3. Enclosed Accompanying Application Parts are:

- ☐ Preliminary Amendment
☐ Claim cancellations are indicated in Preliminary Amendment
☒ one itemized, stamped, and self-addressed postcard for the PTO Mail Room date stamp.
☐ English translation document
☐ Information Disclosure Statement including Form PTO-1449 and copies of the citations therein.
☒ Applicant claims small entity status. See 37 CFR 1.27.

4. Filing Fees (as calculated below)

| | (Col. 1) | (Col. 2) | | |
|--|--------------|--------------|-----------|-----------|
| For: | Number Filed | Number Extra | Rate | Fee |
| Basic Fee | | | | \$ 750.00 |
| Total Claims | 9 — 20 | = 0 | x \$ 18 = | \$ |
| Independent Claims | 2 — 3 | = 0 | x \$ 84 = | \$ |
| Multiple Dependent Claim Presented (if applicable) | | | + \$280 = | \$ |
| Subtotal | | | | \$ 750.00 |
| Reduction by 50% for filing by small entity | | | | \$ 375.00 |
| TOTAL | | | | \$ 375.00 |

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

☐ Please charge my Deposit Account No. _____ in the amount of \$ _____.

☒ A check in the amount of \$ 375.00 to cover the filing fee is enclosed.

☐ A check in the amount of \$ _____ to cover the filing fee will be submitted in Response to the Notice of Missing Parts.

☒ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 15-0508;

☒ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.

☒ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.

☒ **ONLY if applicant has partially paid** the patent issue fee under 37 CFR §1.18, then the **deficiency** shall be charged to Deposit Account No. 15-0508, and the Commissioner is authorized to so charge the Deposit Account.

☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 15-0508 for any fee that may be due in connection with such a request for an extension of time.

Date: September 19, 2003

Attorney's Signature



Michael A. Hierl, Reg. No. 29,807

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